USA-74-24B (Rev. 05/01)

## CRIMINAL DOCKET

HOUSTON DIVISION		SEALED United States Courts			No. <b>4:22cr612</b>		
USAO Nun	20221033 10		strict of Texas				
Magistrate Number:		FILED					
CRIMINAI	LINDICTMENT	riiea	er 07, 2022	Judge:	Hanen		
UNITED S	STATES of AMERICA	Nathan Ochsno	er, Clerk of Cop	l <sup>rt</sup> TORNEYS	:		
VS.			Jennifer B. Lowery, USA		(713) 50	67-9000	
			AUSA Thomas H. Carter l		(713) 5	67-9000	
EDWARD	CONSTANTINESCU (Cts. 1-12)				Appt'd	Private	
PERRY "PJ" MATLOCK (Cts. 1-11)							
JOHN RYBARCZYK (Cts. 1-11)							
GARY DEEL (Cts. 1-11)							
STEFAN HRVATIN (Cts. 1-11)							
	Ct. 1. Canoniman To Commit Sam	rition Frond [10 II C C	\$ 12401				
	Ct. 1: Conspiracy To Commit Secu		. § 1349]				
CHARGE: (TOTAL)	Cts. 2-11: Securities Fraud [18 U.S	.C. §§ 1348 & 2]					
PENALTY:	Ct. 1: Up to 25 years in prison, \$2 Cts. 2-11: Up to 25 years in prison Ct. 12: Up to 10 years, \$250,000	n, \$250,000 fine, 5 yes	ars SR, and \$100	special assessi			
	NOTICE OF FORFEITURE: [18 U.S.C. §§981(a)(1)(C) and 28 [18 U.S.C. §982(a)(1)]	3 U.S.C. §246(c)]			Sealed Public and unofficial so to this instrumen prohibited by court	t are	
☐ In Jail			NAME & ADI	DRESS			
On Bond		of Surety:					
✓ No Ar	rest (All Defendants)						
	,	PROCEE	DINGS:				

USA-74-24B (Rev. 05/01)

## CRIMINAL DOCKET

HOUSTON DIVISION		SEALED United States Courts	No.	No.		
USAO Number: 2022R03540 Magistrate Number: CRIMINAL INDICTMENT		Southern District of Texa FILED	S	-		
		Filed December 07, 2022				
INITED	STATES of AMERICA	Nathan Ochsner, Clerk of C	Judge: TTORNEYS:			
VS.  TOM COOPERMAN (Cts. 1-11)		Jennifer B. L	owery, USA	(713) 5	(713) 567-9000	
		AUSA Thoma	AUSA Thomas H. Carter III		(713) 567-9000	
				Appt'd	Private	
MITCHELL HENNESSY (Cts. 1-11)						
DANIEL K	ENIGHT (Ct. 1)					
	Ct. 1: Conspiracy To Commit Se	ecurities Fraud [18 U.S.C. § 1349]				
CHARGE:	Ct. 1: Conspiracy To Commit Se Cts. 2-11: Securities Fraud [18 U					
(TOTAL)	Cts. 2-11: Securities Fraud [18 U		ecified Unlawful Activity [	[18 U.S.C. § 1957	]	
	Cts. 2-11: Securities Fraud [18 U	J.S.C. §§ 1348 & 2]	ecified Unlawful Activity [	[18 U.S.C. § 1957	]	
(TOTAL) COUNTS:) ( 12 )	Cts. 2-11: Securities Fraud [18 U Ct. 12: Engaging in Monetary Tr Ct. 1: Up to 25 years in prison, Cts. 2-11: Up to 25 years in pri	J.S.C. §§ 1348 & 2]	cial assessment. ) special assessment per co		]	
(TOTAL) COUNTS:)	Cts. 2-11: Securities Fraud [18 U Ct. 12: Engaging in Monetary Tr Ct. 1: Up to 25 years in prison, Cts. 2-11: Up to 25 years in pri	J.S.C. §§ 1348 & 2] ransactions in Property Derived From Sponsor	cial assessment. ) special assessment per co		]	
(TOTAL) COUNTS:) ( 12 ) PENALTY:	Ct. 1: Up to 25 years in prison, Cts. 2-11: Up to 25 years in prison, Cts. 2-11: Up to 25 years in pri Ct. 12: Up to 10 years, \$250,00 NOTICE OF FORFEITURE: [18 U.S.C. §\$981(a)(1)(C) and [18 U.S.C. §982(a)(1)]	J.S.C. §§ 1348 & 2] ransactions in Property Derived From Sponsor	cial assessment. ) special assessment per cossment.			
(TOTAL) COUNTS:) ( 12 )	Cts. 2-11: Securities Fraud [18 U Ct. 12: Engaging in Monetary Tr Ct. 1: Up to 25 years in prison, Cts. 2-11: Up to 25 years in pri Ct. 12: Up to 10 years, \$250,00 NOTICE OF FORFEITURE: [18 U.S.C. §§981(a)(1)(C) and [18 U.S.C. §982(a)(1)]	J.S.C. §§ 1348 & 2] ransactions in Property Derived From Special Special Special Special Assets and Special Assets Special Ass	cial assessment. ) special assessment per cossment.		]	